

CF/017

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Phillip M. Ginsberg

Application No.: 09/843,093 Confirmation No.: 8423

Filed : April 26, 2001

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For : METHODS AND SYSTEMS FOR ACCESSING  
FINANCIAL PROSPECTUS DATA

JAN 21 2003

Group Art Unit : 2131

**GROUP 3600**

Examiner : Not yet assigned

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Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22202

JAN 14 2003

**Technology Center 2100**

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
applicant wishes to call the attention of the Examiner to  
the following documents:

U.S. Patents

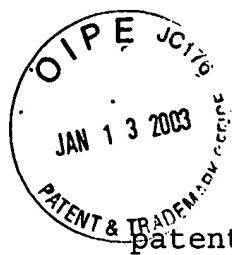
6,122,635	Burakoff et al.	09/19/2000
6,448,979B1	Schena et al.	09/10/2002

These documents are listed on the accompanying  
Form PTO-1449 (submitted in duplicate) and copies are  
enclosed herewith.

Certification Pursuant  
to 37 C.F.R. § 1.97(e)

The information contained in this Second Supplemental Information Disclosure Statement was cited in a communication from a patent office in a counterpart foreign application not more than three months prior to the filing of this Statement. In particular, these references were cited in the December 12, 2002 PCT Search Report on applicant's corresponding application PCT/US02/11413. A copy of that Search Report is enclosed herewith. In accordance with 37 C.F.R. §1.97(c)(1), submission of this Statement requires no fee.

It is respectfully requested that these documents be: (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent which may issue on this application. Applicant requests that a copy of Form PTO-1449, as considered and initialized by the Examiner, be returned with the next communication.



Consideration of the foregoing in relation to this  
patent application is respectfully requested.

Respectfully submitted,

  
Andrew Van Court  
Registration No. 48,506  
Agent for Applicant  
FISH & NEAVE  
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I hereby Certify that this  
Correspondence is being  
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Addressed to:  
COMMISSIONER FOR  
PATENTS P.O. BOX 2327  
ARLINGTON, VA 22202 on

January 6, 2003  
Lillian Garcia  
  
Signature of Person Signing



U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	DOCKET NO. CF-017	APPLICATION NO. 09/843,093
SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT	APPLICANT Phillip M. Ginsberg	CONFIRMATION NO. 8423
	FILING DATE April 26, 2001	GROUP 2131

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE APPROPRIATE
	6,122,635	09/19/2000	Burakoff et al.	707	102	09/25/1998
	6,448,979B1	09/10/2002	Schena et al.	345	741	JAN 13 2003

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
						RECEIVED	
						JAN 14 2003	
						Technology Center 2100	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.



Rev. 04/01

Docket No. CF/017

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New York, New York  
January 6, 2003

Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22202

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TRANSMITTAL LETTER FOR  
SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is a Second Supplemental Information Disclosure Statement in the above-identified application. This Statement is submitted:

- within three months of the application filing date;
- more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

The information contained in this Second Supplemental Information Disclosure Statement was cited in a communication from a patent office in a counterpart foreign application not more than three months prior to the filing of this Statement. In particular, these references were

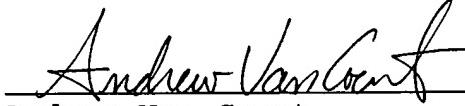
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GROUP 3600

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cited in the December 12, 2002 PCT Search Report on applicant's corresponding application PCT/US02/11413. A copy of that Search Report is enclosed herewith. In accordance with 37 C.F.R. §1.97(c)(1), submission of this Statement requires no fee

In accordance with 37 C.F.R. § 1.97(c)(i), submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Second Supplemental Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

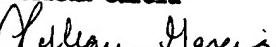
Respectfully submitted,

  
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Andrew Van Court  
Registration No. 48,506  
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COMMISSIONER FOR PATENTS P.O. BOX 2327 ARLINGTON, VA 22202 on

January 6, 2003

Lillian Garcia



Signature of Person Signing